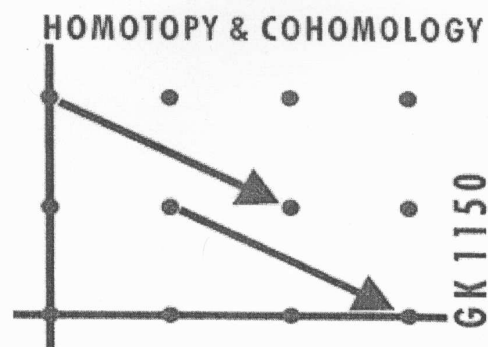


GRK 1150, Mathematisches Institut, Universität Bonn, 53115 Bonn



Winter School

“From Field Theories to Elliptic Objects”

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Schloss Mickeln, Düsseldorf

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Talk No. 12

Speaker: Moritz Wiethaupt

Outline of Talk:

I proof of theorem

- spaces of EFTs
- original proof
(using C^* -algebra theory)
- new proof
(using Fredholm operators
& spaces of configurations)
 - relating Fredholm to configurations
 - Dold-Thom theory of quasifibrations

II the partition function of susy EFTs

(III Thom class, family index)

Theorem: The space of real $(1|1)$ -dim. susy EFTs of degree n represents the real K-theory functor KO^{-n} . Analogous statement for the complex case.

What is the space of EFTs?

Have many reasonable choices:

$$\begin{array}{l}
 \{ \text{susy EFTs of degree } n \} \\
 \downarrow n-1 \\
 \text{SSG}(\mathbb{R}_{20}^{1|1}, \text{HS}_{c_n}^{sa}) \xrightarrow{\cong} \text{Conf}_{c_n}^{\text{EFT}}(\mathbb{R}, \infty) \cong \text{space of generators} \\
 \cap \mathbb{Z} \qquad \qquad \qquad \cap \mathbb{Z} \\
 \text{SSG}(\mathbb{R}_{20}^{1|1}, \text{K}_{c_n}^{sa}) \cong \text{Conf}_{c_n}^{\text{odd}}(\mathbb{R}, \infty) \cong \text{space of generators}
 \end{array}$$

Which K-theory spectrum to use?

a) C^* -homomorphisms

Thm (Higson-Guenther):

Let H_n be a real separable Hilbert space, graded module over C_n , containing each irred. module infinitely often,

let $C_0(\mathbb{R})$ be the C^* -algebra of functions vanishing at ∞

(grading induced by $t \mapsto -t, t \in \mathbb{R}$).

Then the space of grading preserving C^* -morphisms

$$C^*(C_0(\mathbb{R}), K_{C_n}(H_n))$$

represents KO^{-n} .

Relation to EFTs:

$$\text{EFTs} \longrightarrow C^*(C_d^{\text{rel}}, \kappa_{C_d}(H_d))$$

$$\text{generator } D \longmapsto (f \mapsto f(D))$$

(functional calculus)

$$C^*(C_0(\mathbb{R}), \kappa_{C_0}(H_d)) \longrightarrow \text{EFTs}$$

Given $\varphi: C_0(\mathbb{R}) \rightarrow \kappa_{C_0}(H_d)$,

apply spectral theorem to the pairwise

commuting family $\varphi(f)$, $f \in C_0(\mathbb{R})$,

to decompose H_d into simultaneous
eigenspaces of the $\varphi(f)$.

If E is one such eigenspace, we have

$$\varphi(f)|_E = \lambda(f).$$

$f \mapsto \lambda(f)$ is given by evaluation at some

$t \in \bar{\mathbb{R}} = \mathbb{R} \cup \{\infty, -\infty\}$.

Get configuration by labelling E with $\pm t$.

b) Fredholm operators

\widehat{H}_{n-1} ungraded Hilbert space,

w. C_{n-1} -module structure,

$e_i \in C_{n-1}$ acting through skew adjoint operators: $e_i^* = -e_i$.

$$\widehat{F}_n = \left\{ T \in \text{Fred}(\widehat{H}_{n-1}) \mid T^* = -T, \right. \\ \left. T e_i = -e_i T \right\},$$

if $n \neq 3$ (4).

For $n \equiv 3$ (4): In addition require that the self adjoint, C_{n-1} -linear operator

$$e_i \dots e_{n-1} T$$

is neither essentially positive

nor essentially negative.

Thm (Atiyah-Singer): Jäwisch

\hat{F}_n represents KO^{-n} .

Analogous statement for KU .

We need graded version of \hat{F}_n :

H_n graded module over C_n .

$$\hat{F}_n \cong F_n = \{ S \in \text{Fred}(H_n) : S^* = S, S \text{ odd}, \\ S \text{ } C_n\text{-linear}, e_n S|_{H_n^0} \in \hat{F}_n \}$$

$$e_n S|_{H_n^0} \hookrightarrow S$$

$$T \mapsto \begin{pmatrix} 0 & -Te_n \\ -e_n T & 0 \end{pmatrix}$$

Let $T \in \mathcal{F}_n$. Then the essential spectrum of T has a gap around 0.

More precisely:

$$\sigma_{\text{ess}}(T) \cap (-\varepsilon(T), \varepsilon(T)) = \emptyset,$$

where $\varepsilon(T) = \|q(T)^{-1}\|^{-1}$,

$$q: \mathcal{B} \rightarrow \mathcal{B}/\mathcal{H}.$$

\Rightarrow get configuration

$$c_T \in \text{Conf}_{c_n}^{\text{odd}}([-1, 1], \pm 1)$$

$$\cong \text{Conf}_{c_n}^{\text{odd}}(\mathbb{R}, \pm \infty),$$

$$c_T(\lambda) = \begin{cases} E_{\varepsilon(T)\lambda}(T) & \lambda \in (-1, 1) \\ E_{(\varepsilon(T), \infty)}(T) & \lambda = 1 \\ E_{(-\infty, -\varepsilon(T))}(T) & \lambda = -1 \end{cases}$$

Have deformation retraction

$$(\mathbb{T}, t) \longmapsto \frac{1}{1-t+t\varepsilon(\mathbb{T})} \mathbb{T}$$

of F_n onto $F_n^{\varepsilon=1}$, subspace of operators with essential spectral gap 1.

Have map

$$\text{Conf}_{c_1}^{\text{odd}}([1, \infty], \pm\infty) \rightarrow F_n^{\varepsilon=1}$$

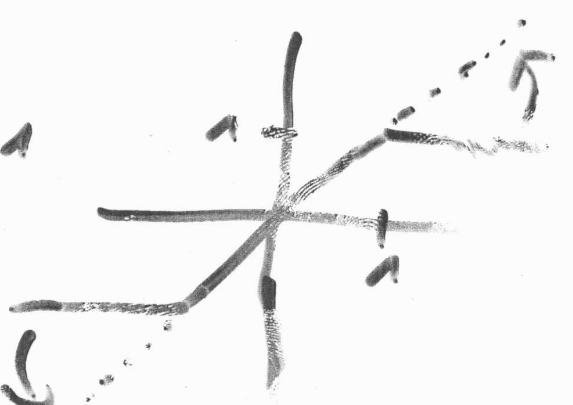
$$c \longmapsto T_c = \sum_{\lambda \in [1, \infty]} \lambda p_{c(\lambda)}$$

$n \neq 3, 4$

Now $c_{T_c} = c_1$

$T_{c_T} = f(T), T \in F_n^{\varepsilon=1}$, where

$$f(\lambda) = \begin{cases} -1 & \lambda \leq -1 \\ \lambda & -1 \leq \lambda \leq 1 \\ 1 & \lambda \geq 1 \end{cases}$$



$\Rightarrow F_n \simeq F_n^{\varepsilon=1}$

$\simeq \text{Conf}_{c_1}^{\text{odd}}([1, \infty], \pm\infty)$

So for $n \equiv 3 (4)$:

$$F_n \simeq \text{Conf}_{f, \text{odd}}^{C_n} ([-1, 1], \pm\infty) \simeq \text{Conf}_{f, \text{odd}}^{C_n} (\widetilde{\mathbb{R}}, \pm\infty) \\ \text{Conf}_n$$

$n \equiv 3 (4)$:

$$F_n \simeq \text{Conf}_n \subseteq \text{Conf}_{f, \text{odd}}^{C_n} (\widetilde{\mathbb{R}}, \pm\infty)$$

Remains to show that the obvious

map

$$p: \text{Conf}_{f, \text{odd}}^{C_n} (\widetilde{\mathbb{R}}, \pm\infty) \rightarrow \text{Conf}_{f, \text{odd}}^{C_n} (\mathbb{R}, \infty)$$

is a homotopy equivalence

when restricted to Conf_n .

Lemma: $p^{-1}(c)$ contractible $\forall c, n \equiv 3 (4)$

$p^{-1}(c) \cap \text{Conf}_n$ contractible $\forall c, n \equiv 3 (4)$

Proof: $P^{-1}(c) =$ decompositions of

$$V_\infty := c(\infty) \text{ as}$$

$$V_\infty = V \perp \alpha V$$

(α grading involution)

$$\tilde{c} \in P^{-1}(c)$$



$$V = \tilde{c}(-\infty)$$



$$\beta|_V = 1, \beta|_{\alpha V} = -1$$



$$\beta_0 = \beta|_{V_\infty^{ev}}$$

$$\cong \{ \beta: V_\infty \rightarrow V_\infty : \beta \text{ } \mathbb{C}_n\text{-linear, } \beta^2 = 1, \beta = \beta^*, \alpha\beta = -\beta\alpha \}$$

$$\beta = \beta^*, \alpha\beta = -\beta\alpha$$

$$\cong \{ \beta_0: V_\infty^{ev} \rightarrow V_\infty^{ev} \mid \beta_0 \text{ } \mathbb{C}_n^{ev}\text{-linear, } \beta_0 \text{ orthogonal} \}$$

$$\cong * (\mathbb{C}_n^{ev}\text{-linear Kuiper})$$

if $n \equiv 3 \pmod{4}$

$n \equiv 3 \pmod{4}$

~~$$\beta_0 \Leftrightarrow \beta_n = \text{grading}$$~~

$$\beta_0 \Leftrightarrow \beta_n = z_1 \dots z_n \beta_0 : V_\infty^{ev} \subseteq$$

s.a., \mathbb{C}_n -linear,
 $\beta_n^2 = 1$

$$P^{-1}(c) \cap \text{Conf}_n \cong \{ \beta_n: V_\infty^{ev} \subseteq : \text{s.a., } \mathbb{C}_n\text{-linear, } \beta_n^2 = 1, \dim E_{\pm 1}(\beta_n) = \infty \}$$

$$\cong \frac{U_{\mathbb{C}_n^{ev}}(V_\infty^{ev})}{U_{\mathbb{C}_n^{ev}}(V_{0,1}^{ev})} \times U_{\mathbb{C}_n^{ev}}(V_{0,2}^{ev})$$

Final step:

Lemma: $P|_{\text{Conf}}$ is a quasi fibration.

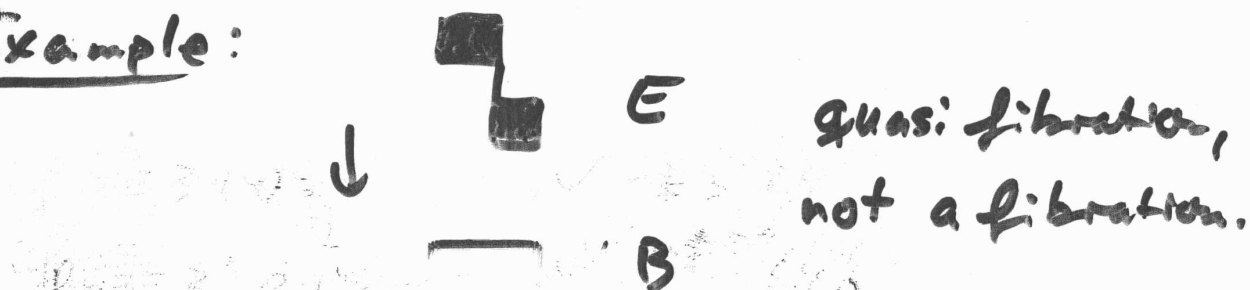
Defn $p: E \rightarrow B$ quasi-fibration:

$$p_*: \pi_k(E, p^{-1}(b)) \xrightarrow{\cong} \pi_k(B, b)$$

$$\forall b \in B, k \geq 1$$

(\Rightarrow exact homotopy sequence)

Example:



Thm (Dold-Thom): $p: E \rightarrow B$ is a quasi-fibration if there is a filtration

$$F_0 \subseteq F_1 \subseteq \dots \text{ of } B \text{ s.t.}$$

i) $P|_{F_i \setminus F_{i-1}}$ is a fibration, $i \geq 1$

ii) There is a neighborhood N_i of F_i inside F_{i+1} and a deformation h of N_i to F_i

- iii) h is covered by $H: p^{-1}(N_i) \times I \rightarrow p^{-1}(N_i)$
 s.t. $H_0 = \text{id}$,
 $H_\lambda: p^{-1}(x) \xrightarrow{\cong} p^{-1}(h_\lambda(x))$,
 $x \in N_i$.

Proof (lemma):

$$F := \{c : \dim \bigoplus_{\lambda \in \mathbb{R}} c(\lambda) \leq 2i\}$$

$$N_i := \{c \in F_{i+1} \mid \dim c(0) \neq \sum_{z=2}^{i+1} f_z\}$$

- i) as long as dimensions don't jump,
 $P|_{\text{Conf}_n}$ is a fiber bundle
- ii) deformation pushes one pair of labels
 off to infinity
- iii) this is covered by the analogous
 deformation in Conf_n ,
 H_λ is a map between contractible
 spaces.



The partition function of susy EFTs:

Let E be a susy EFT (of degree n),
generated by odd operator D .

The partition function of E is defined

$$\text{by } Z_E(t) = E(S_+^{\text{per}} \times \mathbb{R}^{0|1})$$

Prop: $Z_E(t)$ is an integer, indep. of t .

$$\begin{aligned} \text{Proof: } Z_E(t) &= \text{str}_{C_n} E(I_+) \\ &= \text{str}_{C_n} e^{-tD^2} \\ &= \sum_{\lambda} e^{-t\lambda^2} \text{sdim}_{C_n} E_{\lambda}(D) \\ &= \text{sdim}_{C_n} \ker D \in \mathbb{Z}. \end{aligned}$$

$$\text{Here } \text{str} \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \text{tr} A - \text{tr} D,$$

$$\text{sdim}(E) = \text{str} \text{pr}_E (= \dim E^0 - \dim E^1)$$

The family index

$\pi: Z \rightarrow X$ fiber bundle of fibre
dimension n ,
spin structure on Z ,
the tangent bundle along
the fibers.

$$\Rightarrow \pi_! : KO(Z) \rightarrow KO^{-n}(X).$$

$\xi \rightarrow Z$ real vector bundle.

$S \rightarrow Z$ (\mathbb{Z}) - C_n bimodule bundle
representing spin structure
on Z .

$\leadsto C_n$ -bundle on X with fiber
 $H_x = L^2(Z_x, (S \otimes \xi)_{|Z_x})$ over $x \in X$.

$D_x \otimes \xi_{|Z_x}$ C_n -linear on $H_x \cong H_{\mathbb{R}}$

$\leadsto X \rightarrow \text{sp} \left(\mathbb{R}_{\text{so}}, \text{HS}_{C_n}^{\text{su}}(H_{\mathbb{R}}) \right)$
 $x \mapsto (H, \theta) \mapsto \not{f}_{\theta} (D_x \otimes \xi)$

$$\left(\not{f}_{\theta}(x) = e^{-t x^2} + \theta x e^{-t x^2} \right).$$

This represents $\pi_! [F] \in KO^{-n}(X)$

The Thom class

$\pi: S \rightarrow X$ spin vector bundle of rank n ,
 $(\mathbb{I}) - C_n$ -bimodule bundle $S \rightarrow X$ representing
the spin structure.

Embed $S \hookrightarrow X \times H_{\mathbb{I}\mathbb{R}}$ (trivial C_n -linear Hilbert
bundle)

$v \in \mathfrak{I}_X$: $c(v) : S_x \rightarrow S_x$ skew adjoint

$\varepsilon c(v) : S_x \rightarrow S_x$ self adjoint

$C_{-n} = C(\mathbb{I}\mathbb{R}^n)$ acts on the right on S_x

if w acts by $\varepsilon c(w)$

$\Rightarrow \varepsilon c(v)$ is self adjoint C_{-n} -linear

\leadsto get map

$$\mathfrak{I} \rightarrow EFT_{-n}^{\mathbb{I}\mathbb{R}}$$

$$v \mapsto \text{~~self adjoint~~}$$

$$(t, \theta) \mapsto f_{t, \theta} (\varepsilon c(v)) \in HS_{C_{-n}}^{sa}(S_x) \subset HS_{C_{-n}}^{sa}(H_{\mathbb{I}\mathbb{R}})$$

This extends to Thom space

$$\leadsto X^{\mathfrak{I}} \rightarrow EFT_{-n}^{\mathbb{I}\mathbb{R}}$$

representing $Th(S) \in KO^n(X^{\mathfrak{I}})$

$$Z_E : \pi_0 \text{EFT}_n^F \cong \begin{cases} KU_n(x) & (F = \mathbb{C}) \\ KO_n(x) & (F = \mathbb{R}) \end{cases}$$

$$E \mapsto [Z_E]$$

$$KU_n(p+) \cong \hat{\mathcal{M}}_n / \mathcal{M}_n$$

$\hat{\mathcal{M}}_n = \kappa$ -group of graded \mathbb{C}_n -modules.